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Attorney Docket Number: 18921-001 NATL

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Serial No. : 09/936,095 Confirmation No. 9297  
Applicant(s) : Derek L. Stemple, *et al.*  
Filing Date : June 6, 2002  
TC/A.U. : 1637  
Examiner : Teresa E. Strzelecka  
Docket No. : 18921-001 NATL  
Customer No. : 35437  
For : **METHOD FOR DIRECT NUCLEIC ACID SEQUENCING**

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby make of record the documents listed on the attached modified Form PTO-1449 (submitted in duplicate) in the above-identified application, copies of which are submitted herewith. In accordance with MPEP §609(III)(A)(2), a copy of the cited U.S. patent is not required.

This Supplemental Information Disclosure Statement is being filed more than three months after the filing date of this application but before the mailing date of a Notice of Allowance under 37 C.F.R. §1.311. Accordingly, the fee of \$180 as set forth in 37 C.F.R. §1.17(p) is enclosed.

It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims. It is also respectfully requested that the Examiner initial, sign and date, and return a copy of the signed modified Form PTO-1449 with the next U.S. PTO communication, to evidence that the cited information has been fully considered by the U.S. Patent and Trademark Office during the examination of this application.

By submitting this Supplemental Information Disclosure Statement, the Applicants make no representation that: (1) a search has been performed, the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

The order of presentation of the references should not be construed as an indication of the importance of the references. The Examiner is urged to form his/her own conclusion regarding the relevance of the cited information.

Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. **50-0311**, Customer No. **35437**, Reference No. **18921-001 NATL**.

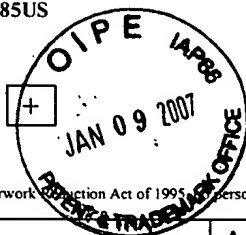
Respectfully submitted,

Date: January 9, 2007



Ivor R. Elrifi, Reg. No. 39,529  
Eric Sinn, Reg. No. 40,177  
Attorneys for Applicants  
MINTZ, LEVIN, COHN, *et al.*  
Customer Number: 35437  
666 Third Avenue-24th Floor  
New York, New York 10017  
Telephone: (212) 935-3000  
Telefax: (212) 983-3115

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<b>Modified Form 1449/PTO</b>  <b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)	Application Number	09/936,095
	Filing Date	06/06/02
	First Named Inventor	Stemple
	Group Art Unit / Confirmation No.	1637 / 9297
	Examiner Name	Teresa E. Strzelecka
	Attorney Docket Number	18921-001 NATL

U.S. PATENT DOCUMENTS							
Exam Initials	Cite No.	U.S. Patent Document No.	Issue Date	Name of Patentee(s) or Applicant(s)	Class	Sub Class	Filing Date If Appropriate
	A18	6,007,987	12/28/99	Cantor et al.	435	6	10/15/96

FOREIGN PATENT DOCUMENTS					
Exam Initials	Cite No.	Foreign Patent Document Office Number	Name of Patentee(s) or Applicant(s)	Date of Publication	English Yes No

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS		
Exam Initials	Cite No.	Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.
	C36	Wang et al. "Discontinuous Movements of DNA and RNA in RNA Polymerase Accompany Formation of a Paused Transcription Complex"; <i>Cell</i> , 81:341-350 (1995).

\* a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. \_\_\_\_\_, filed \_\_\_\_\_, and relied upon for an earlier filing date under 35 U.S.C. §120 (continuation, continuation-in-part, and divisional applications).

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.